



Compliance Managers Guidebook Reference: The Responsibility of Compliance Managers Is Growing and It Is Vital They Have Their Finger on the Pulse (Paperback)

By Lee Werrell

Createspace Independent Publishing Platform, United States, 2014. Paperback. Condition: New. Language: English . Brand New Book ***** Print on Demand *****. Instruction book on how to conduct a role under UK regulatory requirements for a firm to meet compliance. The Responsibility of Compliance Managers Is Growing And It Is Vital They Have Their Finger On The Pulse As a UK Financial Services Regulatory Compliance Manager who wants to make sure they have all bases covered before any regulatory visit; or maybe you want to know the secret tips of compliance risk assessments and business or operational risks; or perhaps you are new in the job and want insights into hot-topics, enforcement and regulatory methodologies and best practice. If so then the Compliance Manager Guidebook Reference is written for you. Regulatory Compliance is constantly changing and many people need to know; What do the latest changes mean? What changes have happened to the regulatory Handbooks? What impact does this have on the sales process? Does the documentation need to be changed? Does or sales process need to be amended? Whenever these questions are asked, everyone turns toward compliance to ask them. If you are new or an old hand, you need...



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